

METHOD AND APPARATUS FOR STERILIZING A PACKAGING SHEET MATERIAL.

TECHNICAL FIELD.

- 5 The present invention relates to an improved method and apparatus for sterilizing a packaging sheet material of the kind used for packaging pourable food products such as milk, tomato puree, yoghurt, fruit juices, wine, tea, etc. Such laminated packaging sheet material has a multi-layered structure including a fibre-based layer made of a material such as paper, which is coated on either
- 10 side with a heat-sealable plastics material such as polyethylene. When the packaging material is to be used for the aseptic packaging of pourable products such as milk treated at ultra-high temperature (UHT milk), the face of the packaging material destined to be placed in contact with the food product is also provided with a layer of barrier material, such as e.g., aluminium, which is
- 15 in turn covered with a layer of plastics material such as polyethylene.

- When manufacturing packages from the above-mentioned kind of packaging sheet material, it is imperative that no microorganisms are allowed to come into contact with the food product to be packaged. Therefore, the packaging material must be sterilized immediately prior to use for the
- 20 manufacture of packages, and it must be retained in sterile conditions, until the package is completely sealed, thereby ensuring that the food product contained therein is free from any microorganisms which would otherwise spoil the food and/or transmit diseases to the consumer.

25 BACKGROUND ART.

- Laminated packaging material may be produced in the form of web which can be continuously fed into a package forming, filling and sealing machine. Such machines include e.g., the TBA/19<sup>®</sup> and TBA/21<sup>®</sup> filling machine, manufactured by Tetra Brik Packaging Systems, Via Delfini 1, Modena, Italy.
- 30 The web is sterilized with a sterilizing agent such as e.g., hydrogen peroxide, which is thereafter removed by evaporation. The sterile packaging material is then maintained in an aseptic chamber, then longitudinally sealed to form a continuous tube, which is filled with liquid food product to be packaged.

The tube is then clamped and transversely sealed at regular intervals to produce pillow-shaped packages, which are mechanically folded to produce finished packages. Such types of packages include e.g., parallelepiped packages known by the registered trademarks Tetra Brik Aseptic® and Tetra Brik®, and substantially parallelepiped packages with bevelled corners commonly known by the registered trademarks Tetra Prisma® and Tetra Prisma Aseptic®.

Alternatively, the laminated packaging sheet material may be cut into blanks and then formed into packages on mandrels. Such packages are sterilized by spraying with hydrogen peroxide. The spray produces a thin layer of hydrogen peroxide on the hydrophobic, thermoplastic outer layer of the packaging sheet material. Successively, a source of UV light in the 200-325nm range is irradiated onto the hydrogen peroxide-covered outer layer of packaging material. The synergy occurring between the hydrogen peroxide and the UV light achieves has a killing effect on any microorganisms on the packaging material. Thereafter, the hydrogen peroxide is removed and the packages are filled with liquid food product and sealed to produce gable-top container commonly known by the registered trademark Tetra Rex®.

#### 20 Sterilization with hydrogen peroxide:

In the sterilization systems employing hydrogen peroxide, without any irradiation thereon of UV light, efforts have been made to prolong the time that the hydrogen peroxide remains in contact with the packaging material, in order to increase the killing effect of the sterilization process. United States patent No. 3,904,361 describes a process wherein, in order to prevent or at least minimize evaporation of a peroxide film on a packaging material web during its passage through a sterilization chamber, to prolong its contact with the web face and obtain the desired sterilization, the chamber is saturated with steam and hydrogen peroxide vapours obtained by spraying hydrogen peroxide through nozzles into the chamber. Although this technique prolonged contact of a very hot film of hydrogen peroxide with the packaging web surface to improve sterilization, it imposed severe restrictions on the rate at which packages could be manufactured with the packaging machine.

Another problem encountered with the known sterilization techniques employing hydrogen peroxide, regards ensuring uniformity of the degree of sterilization throughout the packaging material. In some web-fed packaging machines, hydrogen peroxide was mixed with water and delivered drop-wise into a heated container placed in the longitudinally sealed tube of packaging material. However, the vaporized hydrogen peroxide only started to have a sterilizing effect when the major part of the water in the droplets had evaporated. Therefore, the concentration of hydrogen peroxide actually acting on the packaging material varied considerably, at the same rate as delivery of drops of sterilizing agent. In order to overcome this problem US Patent No. 4,225,556 disclosed the use of a first treatment station with a container for hydrogen peroxide through which the packaging material was passed, and a second treatment station comprising a chamber with an inlet and an outlet for the packaging material web, and a nozzle for spraying hydrogen peroxide onto a heated surface. However, neither this arrangement, nor the above-described sterilization technique known from United States patent No. 3904361 are compatible with modern high-speed liquid food packaging machines due to the excessive times required to effect sterilization.

In order to overcome problems regarding uniformity of the sterilization of a packaging material web with hydrogen peroxide, the packaging material is passed through a bath containing hydrogen peroxide sterilizing solution, and in order to cope with ever-increasing production rates, the hydrogen peroxide baths have been increased in size to maintain contact time between the packaging material and the sterilizing solution. The intensified contact between the hydrogen peroxide solution and the packaging material is of course an advantage from the point of view of bacteriological killing. However, the intensified contact also increases the risk that the hydrogen peroxide solution will penetrate into and degrade the liquid-absorbent fibrous layer of the packaging material web through the cut edges of the web. The overdimensioning of the bath also results in a further increase in hydrostatic pressure which further increases the risk of liquid penetrating into the web in the deeper parts of the bath. Furthermore, since packaging material located in the

bath during machine stoppages is discarded, the deeper baths caused greater wastage of material upon each machine stop.

Sterilization with hydrogen peroxide and UV radiation:

5 United States patent No. 4,289,728 to Peel et al dealt with the synergy that exists between UV radiation below 325nm, and hydrogen peroxide at a concentration of at least 0.01% and no more than 10% by weight. By treating a microorganism at the surface of the packaging with an ultraviolet irradiated solution of hydrogen peroxide, the microorganism is rendered non-viable by the  
10 synergism between the radiation and the hydrogen peroxide.

However, even with the use of powerful UV lamps, a long irradiation time was required for thorough sterilization, and this long irradiation time gave rise to damaging of the packaging material, lowering of its heat-seal strength and discoloration thereof. As a solution to this problem, United States patent No.  
15 4,366,125 to Kodera et al disclosed a system including a first station for applying a thin film of hydrogen peroxide at low concentration at room temperature onto the outer surfaces of a packaging material. A second station, located downstream of the first station with respect to a travel direction defined by the material to be sterilized, was provided for irradiating the outer hydrogen  
20 peroxide-coated surfaces with UV radiation. Finally, a third station was provided downstream of the second station for drying the material with aseptic hot air.

United States patent No. 5,114,670 to Duffey disclosed a sterilization chamber including inlet and outlet means for the material to be sterilized, means for introducing gaseous hydrogen peroxide into the sterilization chamber, and  
25 means for simultaneously irradiating the material to be sterilized with UV energy.

WO 97/35768 discloses a method of sterilizing food packaging containers comprising a first step of depositing a hydrogen peroxide-containing solution having a concentration in a range of 0.05 – 0.20 wt.% into an interior of  
30 a packaging container; a second step of irradiating the interior of the container with ultraviolet light after the hydrogen peroxide-containing solution is deposited in the interior of the container, and a third step of removing hydrogen peroxide from the interior of the container.

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There is a general need in the art to provide a method and apparatus for sterilizing packaging sheet material, which overcomes the problems encountered in the prior art sterilization methods and apparatuses.

A main object of the invention is to provide a method and apparatus for sterilizing packaging sheet material, which achieves improved killing rates with respect to the known sterilization techniques, thereby improving the quality of sealed packages manufactured with the packaging sheet material and hence  
5 the product delivered to the consumer.

Another object of the invention is to provide a method and apparatus for sterilizing packaging sheet material which is fully compatible with modern high-speed package forming, filling and sealing machines.

A further object of the invention is to provide a method and apparatus for  
10 sterilizing packaging sheet material employing hydrogen peroxide, which minimizes the time that the sheet material remains in contact with the hydrogen peroxide, thereby avoiding any soaking of the hydrogen peroxide into the cut edge of the packaging sheet material. This is a clear advantage, irrespective of the means which are used to apply hydrogen peroxide to the packaging  
15 material, but it is particularly advantageous when a hydrogen peroxide bath is used. The invention allows to construct a bath of reduced depth, whereby no problems arise relating to hydrostatic pressures which would otherwise tend to promote soaking of the hydrogen peroxide into the cut edge of the packaging sheet material.

20 Yet another object of the invention is to provide a method and apparatus for sterilizing packaging sheet material employing hydrogen peroxide and UV radiation, wherein commercially available means for generating UV radiation can be used, at a power level which does not have any detrimental effects on the packaging material.

25 A further object of the invention is to provide a method and apparatus for sterilizing packaging sheet material employing hydrogen peroxide and UV radiation, which can be integrated with current package forming, filling and sealing machines.

30 With the above-mentioned objects in view, as well as other objects of the invention which will become apparent hereinafter, the invention provides a method of sterilizing a packaging sheet material, comprising the steps of;  
-applying hydrogen peroxide to a packaging sheet material, and;

-irradiating the packaging sheet material with light including at least one UV wavelength between about 200nm and 320nm,

**characterized in that** it comprises the intermediate step of removing the hydrogen peroxide from the surface of the packaging sheet material while  
5 retaining a residual or trace quantity at any microorganisms (30a), after the step of applying hydrogen peroxide and before the step of irradiating the packaging material, whereby said residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

10 According to another aspect of the present invention, there is also provided an apparatus for sterilizing a packaging sheet material which comprises

- means for applying hydrogen peroxide to a packaging sheet material moving in an advancement direction,

15 - means for irradiating the packaging sheet material with light including at least one UV wavelength between 200nm and 320nm, arranged downstream of said means for applying hydrogen peroxide, with respect to said advancement direction, and;

- means for removing the hydrogen peroxide from surface of the packaging  
20 sheet material,

**characterized in that** said means for removing the hydrogen peroxide from the surface of the packaging sheet material are interposed between said means for applying hydrogen peroxide and said means for irradiating the packaging material with light including at least one UV wavelength between 200nm and  
25 320nm, whereby a residual or trace quantity of hydrogen peroxide absorbed by or located adjacent to any microorganisms present on said packaging sheet material is directly targeted with UV radiation.

#### BRIEF DESCRIPTION OF THE DRAWINGS.

Further features and advantages of the invention will become apparent  
30 from the following detailed description of the invention, and the accompanying drawing figures wherein:

Figure 1 is a schematic view of the apparatus according to the invention;

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< and in that said means for irradiating are arranged only downstream  
of the means for removing >

AMENDED SHEET

Figure 2 is an enlarged, schematic cross-sectional view of a portion of packaging sheet material irradiated with UV light according to the methods of the prior art, and;

Figure 3 is an enlarged, schematic cross-sectional view of a portion of packaging sheet material irradiated with UV light according to the method of the present invention.

#### BEST MODE FOR CARRYING OUT THE INVENTION.

With reference to drawing figure 1, the apparatus according to the invention is shown together with a packaging material sheet to be sterilized. Although, in the illustrated example, the packaging material is in the form of a web 1, it will be appreciated that the material may also be in the form of a cut blank. As shown in figure 3, the web 1 is a laminated multi-layer material of the type commonly used for aseptic packaging of pourable food products. The laminated structure has a fibre-based layer 20 made of a material such as paper, and heat-sealable layers 21, 22 made of plastics material such as polyethylene, provided on each side of the fibre-based layer 20. When the packaging material is to be used for the aseptic packaging of pourable products such as milk treated at ultra-high temperature (UHT milk), the face of the packaging material destined to be placed in contact with the food product is also provided with a layer of barrier material 23, such as e.g., aluminium, which is in turn covered with an additional heat-sealable layer 24 of plastics material such as polyethylene.

The web 1 is moved in an advancement direction, indicated by the arrow 2, and guided in its trajectory, by conventional means which do not form part of the present invention and thus are not illustrated. As clearly shown in figure 1, the apparatus according to the invention includes means for applying hydrogen peroxide to the surface of the packaging sheet material 1, moving in the advancement direction 2, which are preferably constituted by a bath 3 containing liquid hydrogen peroxide 4. The hydrogen peroxide 4 in the bath 3 may have a concentration of up to 50% by weight, and preferably has a concentration of from 10% by weight to 50% by weight, and most preferably has a concentration of from 20% by weight to 40% by weight. A conventional roller 5 is provided in the bath for guiding the packaging material 1. As also



schematically shown in figure 1, means 6 are also connected to the bath 3 for maintaining the hydrogen peroxide at a temperature preferably comprised between 15 degrees Centigrade and 80 degrees Centigrade, and may be constituted by a conventional thermostatically-controlled heating mechanism 6 as commonly used in the technical field of the invention, such as those present on the above cited TBA/19<sup>®</sup> and TBA/21<sup>®</sup> liquid food packaging machines, available from Tetra Brik Packaging Systems, Via Delfini 1, Modena, Italy.

In the apparatus according to the invention, the depth of the hydrogen peroxide bath can be reduced with respect to conventional baths, and the hydrogen peroxide 4 in the bath 3 preferably defines a liquid column having a height less than 50cm. One skilled in the art will appreciate that other means for applying hydrogen peroxide liquid or vapour to the surface of the packaging material may also be used, such as spray means.

Means for irradiating the packaging sheet material with light, including at least one UV wavelength between 200nm and 320nm, are located downstream of the bath 4, with respect to the advancement direction 2, and comprise a UV light source 7. According to a preferred embodiment of the invention, the UV light source 7 for irradiating the packaging sheet material may comprises a monochromatic source of UV light having a wavelength of 222nm. The monochromatic source of UV light having a wavelength of 222nm preferably comprises at least one excimer lamp. Such lamps are available from Heraeus Noblelight, Kleinostheim, Germany. Alternatively, a source of polychromatic UV light may also be used. Such polychromatic lamps are manufactured, for instance, by Berson UV-technik, De Huufkes 23, NL-5674Nuenen, The Netherlands. Other lamps or lasers may also be used which emit light in the UV wavelengths.

As shown in figure 1, means 8 are also provided for removing hydrogen peroxide from the surface of the packaging sheet material 1, or, as will be explained hereinafter, reducing the hydrogen peroxide on the surface of the packaging sheet material to a minimum. In the illustrated example, the means 8 for removing hydrogen peroxide from the packaging sheet material preferably comprise at least one air knife 9 for blowing air onto the packaging material sheet 1. Such air knives are known from United States patent No. 4603490 to

Hilmersson et al, and have a chamber 10 including an air inlet 11 and an air outlet 12 for a flow of air, a material inlet 13 and a material outlet 14 for a packaging material web 1, and a baffle plate 15 disposed over the air outlet 12 at an angle in relation to the path of travel of the web 1 through the chamber 10.

5 Air blown onto the web 1 at the air knife is preferably heated to a temperature of from 80 degrees Centigrade to 150 degrees Centigrade. It will be appreciated that other means for removing the hydrogen peroxide from the web 1 may also be used. For example, conventional squeegee rollers or pinch rollers, may also be used in addition to or instead of the air knife. Other means  
10 may also be used for generating a flow of hot air suitable for evaporating the hydrogen peroxide. However, means for blowing hot air onto the packaging material web is preferred, especially where the web has any parts, such as package opening devices injection-molded directly onto the web, which could affect the efficiency of the squeegee rollers.

15 An important feature of the present invention resides in the specific location of the means for removing excess hydrogen peroxide from the surface of the packaging material web 1. As clearly shown in figure 1, the means for removing excess hydrogen peroxide 8 are interposed between the means for applying hydrogen peroxide, constituted in the illustrated example by the bath 3, and the means 7 for irradiating the packaging material with light including at  
20 least one UV wavelength between 200nm and 320nm. The reason for this location of the means for removing hydrogen peroxide is the following:

With reference first of all to drawing figure 2, there is illustrated an enlarged sectional view of a portion of a multi-layer laminated packaging sheet  
25 material like the above-described web 1, wherein identical layers of the laminated structure are identified by the same reference numerals. Additionally, the reference numeral 30 schematically indicates microorganisms present on the surface of the packaging material, and the reference numeral 31 indicates the layer of hydrogen peroxide applied to the surface of the packaging material.  
30 Figure 2 illustrates the treatment of a packaging sheet material according to the prior art methods, wherein hydrogen peroxide at a concentration no greater than 10% by weight is first applied to the packaging sheet material. Thereafter the hydrogen peroxide is irradiated with UV light, and the synergy between the

hydrogen peroxide and the UV light has the known killing effect on the microorganisms 30.

Figure 3 illustrates the treatment of a packaging sheet material according to the invention, wherein hydrogen peroxide, preferably at a concentration of up to 50% by weight, and preferably of from 10% to 50% by weight, is first applied to the packaging sheet material. Thereafter the hydrogen peroxide is removed from the surface of the packaging material. It would thus appear that if one irradiated the packaging material with UV light at this point, i.e., in the UV irradiation zone indicated by the reference numeral 19 in figure 1, there could be no interaction between the UV light and hydrogen peroxide, because the latter has been removed. However, the applicant has discovered that on the contrary, the killing effect achieved is significantly improved with respect to known techniques. This is due to the fact that although excess hydrogen peroxide is removed from the hydrophobic packaging sheet material, a residual or trace quantity is retained at any microorganisms 30a, which are believed to hydrophilically absorb the hydrogen peroxide 31a or otherwise retain the residual hydrogen peroxide. Therefore, when the packaging sheet material is successively irradiated with UV light, the interaction occurring between the hydrogen peroxide and the UV light, that has the known killing effect on microorganisms, is targeted specifically to the microorganisms themselves, which have hydrophilically absorbed or otherwise retained the hydrogen peroxide. Furthermore, the removal of the shielding layer of excess hydrogen peroxide allows advantage to be taken of the possibility of using higher concentrations of hydrogen peroxide than those which were considered to be the maximum utilizable by the prior art.

In other words, instead of irradiating the entire layer of hydrogen peroxide with the aim of killing any microorganisms contained therein, as taught by the prior art, in accordance with the invention, the layer of excess hydrogen peroxide 31 is removed and only the residual hydrogen peroxide located in, on or adjacent to any microorganisms any microorganisms 30a present on the surface of the packaging material is directly targeted with UV radiation. It will be understood that the positional relationship between the residual hydrogen

peroxide and the and the microorganisms shown in figure 3 is purely schematic and representative of what is believed to be occurring.

Therefore, when irradiating the packaging material with UV light, instead of having a layer of excess hydrogen peroxide shielding the microorganisms, the irradiation of UV light is targeted to the residual hydrogen peroxide absorbed by or located adjacent to the microorganisms. The removal of the layer of excess hydrogen peroxide in practice has the surprising effect of significantly increasing the efficiency of the sterilization process.

The method according to the invention, carried out with the above-described apparatus, will now be described:

First of all, hydrogen peroxide, preferably liquid hydrogen peroxide at a concentration of up to 50% by weight, preferably of from 10% by weight to 50% by weight, and most preferably at a concentration of from 20% by weight to 40% by weight, is applied to a packaging sheet material. In accordance with a preferred embodiment of the invention, this is achieved by immersing the packaging sheet material in a hydrogen peroxide bath at a temperature comprised between 15 degrees Centigrade and 80 degrees Centigrade, for a time interval of from 0.5 seconds to 2 seconds. During this time, the hydrogen peroxide is believed to be hydrophilically absorbed in, or become somehow entrapped adjacent to or on any microorganisms present on the packaging material. Preferably, the height of the liquid column of hydrogen peroxide in the bath does not exceed 50cm above the packaging sheet material. Although deeper hydrogen peroxide baths may be used, this relatively short residence time and shallow depth obviate problems relating to edge-soaking or wicking of the hydrogen peroxide into the fibrous layer of the laminated packaging material.

Thereafter, the excess hydrogen peroxide is removed from the surface of the packaging sheet material, preferably by blowing thereon a stream of air heated to a temperature of from 80 degrees Centigrade to 150 degrees Centigrade, to reduce the hydrogen peroxide on the surface of the packaging material to a minimum. This removes the excess hydrogen peroxide from the surface of the packaging material, but a trace quantity of residual hydrogen peroxide is retained at any microorganisms present on the surface of the packaging

material. Once the excess hydrogen peroxide has been removed from the surface of the packaging material, the material is irradiated with light including at least one UV wavelength between about 200nm and 320nm. According to a preferred embodiment of the invention, the packaging sheet material is irradiated with UV light at a wavelength of 222nm, and most preferably, the UV light source is an excimer lamp. In this manner, it has been found that it is possible to directly target residual hydrogen peroxide entrapped at any microorganisms present on the packaging sheet material with UV radiation. The use of an excimer lamp has the additional advantages of instantaneous activation and deactivation and no significant heat emission. From experimental tests, it has been observed that when the hydrogen peroxide is not reduced to a minimum (i.e., to a residual or trace quantity at any microorganisms on the packaging material to be sterilized) before irradiating with UV light, a far less log reduction results than that which has been achieved in comparative experiments which have been carried out in accordance with the invention.

The sterilization system according to the invention is fully compatible with modern high-speed aseptic liquid-food packaging machines, producing up to 18000 or more packages per hour, wherein the packaging material moves at a speed of, or greater than 81.65 cm per second (48.990 meters per minute).

The present invention may be further modified, without thereby departing from the purview of the appended claims.